



SP03-081

IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen J. Caracci, et al
Serial No: 10/632276
Filed: 8/1/2003
For: SUBSTRATE INDEX MODIFICATION
FOR INCREASING THE SENSITIVITY
OF GRATING-COUPLED WAVEGUIDES

Examiner: TBA
Group Art Unit: 2874

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Commissioner of Patents
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

Thomas R. Beall
Registration No. 40,424
Corning Incorporated
SP-TI-03-1
Corning, NY 14831
607-974-3921
Date: May 10, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on May 10, 2004
Date of Deposit

Thomas R. Beall

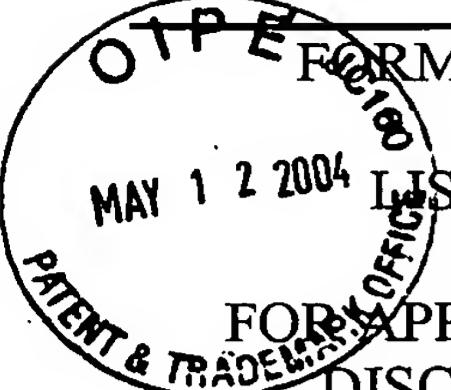
Name of applicant, assignee, or
Registered Representative

Signature

May 10, 2004

Date of Signature

MAY 12 2004

 FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.		SERIAL NO.
	SP03-081		10/632276
	APPLICANT Stephen J. Caracci, et al.		
FILING DATE	8/1/2003		GROUP: 2874

REFERENCE DESIGNATION
U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	5,369,722	11-29-94	Martin Heming et al.	385	130	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL	WO 02/35214	05-02-02	PCT			X	
	AM							
	AN							
	AO							
	AP							
	AQ							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	
AS	
AT	
AU	
AV	
AW	

EXAMINER:
DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.